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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

DATE: June 1, 2000

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01 December 1998PRIORITY DATE CLAIMED
01 December 1997

TITLE OF INVENTION

ELECTRONIC PROGRAM GUIDE SYSTEM WITH ADVERTISING MESSAGES IN POP-UPSAPPLICANT(S) FOR DO/EO/US
Jonathan B. Orlick

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

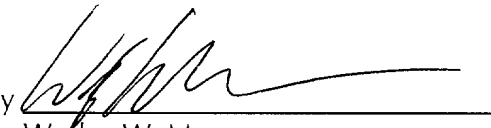
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/LUS).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**unexecuted**)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items below concern other document(s) or other information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Small entity claim with a copy of this transmittal letter attached.
17. ☒ International search report.
18. ☒ International preliminary examination report.
19. ☒ Written Opinion
20. ☒ Response to Written Opinion
21. ☐

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422 Rec'd PCT/PTO 01 JUN 2000

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/555551		INTERNATIONAL APPLICATION NO. PCT/US98/25490		ATTORNEY DOCKET NO. 33786/WWM/S787	
<input checked="" type="checkbox"/> The following fees are submitted: (see Note (1) below) Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO..... \$ 840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) ... \$ 670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$ 690.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$ 970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$ 96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS	PTO USE ONLY
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	10 -20=	0	X \$18	\$ 0	
Independent Claims	1 -3=	0	X \$78	\$ 0	
Multiple dependent claim(s) (if applicable)			+ \$260	\$ 0	
TOTAL OF ABOVE CALCULATIONS =				\$ 670	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$ 670	
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 670	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 670	
Note (1): The basic national fee must be paid when filing this application. The 20-month time limit (37 CFR § 1.494) and 30-month time limit (37 CFR § 1.495) are not extendable.				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>670</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-1728</u> . A duplicate copy of this sheet is enclosed.					
NOTE (2): Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> Wesley W. Monroe CHRISTIE, PARKER & HALE P.O. Box 7068 Pasadena, CA 91109-7068 </div> <div style="width: 45%; text-align: right;"> By  Wesley W. Monroe Reg. No. 39,778 </div> </div>					

ELECTRONIC PROGRAM GUIDE SYSTEM WITH
ADVERTISING MESSAGES IN POP-UPS

5 BACKGROUND OF THE INVENTION

The present invention generally relates to television schedule information displayed on a TV screen as an electronic programming guide, and more particularly to a system and method for accessing guide reformatting functions without leaving the guide.

10 As the number of television stations in a metropolitan area or on a cable network has increased, the number of programs of potential interest that are presented to a viewer has risen dramatically. With the use of dish antennas capable of receiving direct satellite signals, the multitude of programs available to the viewer has further increased.

15 Additionally, television faces a digital future that will see the merger of television and PC technology. The television set of the future will include a micro-computer, a modem for interconnectivity with other computers over networks, intranets, and the internet, and be connectable to computer peripherals such as printers. Such capabilities as near "video on demand" (NVOD), "video on demand", "access to the world wide web", "audio on demand", etc. will present the viewer with a plethora of information and bandwidth.

20 As has become increasingly evident, information overload can actually reduce the usefulness of the information delivered. Accordingly, a great challenge exists to provide an interface that manages and provides an intelligent, user-friendly interface to the information available.

25 Consequently, television schedule systems that are provided directly on the viewer's television screen have been developed to assist the viewer in sorting through these various programs and determining which programs to watch or record. One such television schedule system is disclosed in commonly assigned U.S. Patent No. 5,353,121 (Young et al.), the complete disclosure of which is hereby incorporated by reference. In one embodiment of Young, the television schedule includes a series of menu screens having an array of cells corresponding to different television programs. The viewer may scroll through the cells to view which television programs are being presented on various channels at various times. In addition, the viewer may select certain cells to obtain more information on the associated program or to pull up other submenus with additional options.

30 The recent development of television schedule systems, such as the above described patent to Young, have created many new challenges. One such challenge is utilizing the EPG to present advertising and other information to the viewer when the EPG is activated.

35 Typically, advertisements are displayed in small rectangular areas of the EPG. However, for EPG systems including browsing and grazing features the viewer often doesn't activate the

EPG when casually surfing through available channels. Accordingly, new techniques for displaying advertising and other information utilizing an EPG display are being actively pursued.

SUMMARY OF THE INVENTION

According to one aspect of the present invention, an advertising/message window is provided in browsing and grazing pop-ups to provide messages when the viewer is browsing or grazing.

According to another aspect of the invention, the content of the messages displayed in the browsing or grazing pop-up windows is controlled by the EPG generating system.

Other features and advantages of the invention will be apparent in view of the following detailed description and appended drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic diagram of a display monitor having pop-ups in accordance with the invention;

FIG. 2 is a block diagram of a TV system;

FIG. 3 is a block diagram of a hardware unit for generating an on-screen electronic programming guide (EPG);

FIG. 4 is a schematic diagram of the hierarchical database utilized to generate the EPG;

FIGs. 5 to 10 are schematic diagrams of data structures in the database; and

FIGs. 11A to 11C are diagrams of a display monitor having a composite with an advertising message.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

Overview of an EPG System

Advertising images may be stored in EPG database or received from an external source. The grazing/browsing pop-up format includes graphics objects stored in memory with constant areas generated by data stored in ROM and variable areas for depicting text and graphics based on updatable data stored in database or provided in realtime.

The pop-up includes a variable area for displaying advertising, or other messages, having content stored in the ad list data structure described in detail below.

In a preferred embodiment, the electronic program guide of the invention may be implemented either on a personal computer, a PCTV, a television connected to a set-top box, or a television including a custom board. However, the invention is not limited to any particular hardware configuration and will have increased utility as new combinations of computers and television systems are developed. In the following any of the above will sometimes be referred

to as a "TV system". Block diagrams of representative TV systems are depicted in FIG. 2. Details of implementation are not depicted because the invention is not limited to any particular TV system.

As is well known, the picture to be displayed may be transmitted as an analog signal, for example according to the NTSC standard utilized in the United States, or as a digital signal modulated onto an analog carrier. The signals may be received over a cable or via an antenna or satellite dish. Typically, television sets are designed to receive analog signals and computer display devices are designed to display pictures encoded in a digital format. However, the decoder system converts the digital data to an analog signal for display on a television set and TV modems can format analog TV signals for display on a monitor.

In FIG. 2, analog or digital TV signals, received via cable 30, antenna 32, or satellite dish 34, are provided to a television system. If the signal is from a digital broadcast service, then a decoder 36 converts the signal to baseband video and audio or channel 3/4 RF. If the signal is an analog signal it is passed through as a live video output. The television system 38, depending on its configuration, receives selected ones of the outputs and displays the received program.

A PCTV includes a TV card 40, connected to either live video, baseband video, or channel 3/4 output, digitizes the video image and displays the video image in a resizable window on the computer monitor. The PCTV is also coupled to land telephone lines by a modem 42.

If the received signal is an analog TV signal the TV card of the PCTV digitizes the analog signal and extracts included information from the vertical blanking intervals. On the other hand, if the signal is a digital signal separate audio, video, VBI (vertical blanking information such as closed caption, teletext, and program related information), program guide, and conditional access information are provided as separate bitstreams. The video and audio bitstreams for programs are converted to a format for display and the program guide information is processed to form a program guide database. The processor, executing software stored in memory, generates interactive electronic program guide images and images of received programs. The guide can be used to interact with and control programs displayed in the window.

A television system configured to display an electronic program guide such as a guide provided by StarSight Telecast includes an on-screen display controller and other hardware described below. If a standard analog broadcast signal is received, program guide data is extracted from the VBI by a VBI data slicer and processed to form a program database. If a DBS digital signal is received, either from a satellite or cable, VBI and program data are provided in separate bit streams. The program guide images are either generated locally or remotely and provided to an on-screen display controller. Interactivity is provided via a remote control.

Alternatively, the program guide can be displayed on a computer monitor that interactively controls the television set through, for example, an IR interface, including an IR blaster 44, to generate IR codes to control the television and/or a VCR.

If the electronic guide database is generated locally, the system for creating the electronic programming guide must receive television schedule information and process the received information to create a database. Thus, the system requires a data reception unit, a processor, memory to store program code and a database, an on-screen display generator (OSD), and a control interface for tuning to selected channels.

In one preferred embodiment, the schedule information is transmitted as a set of short commands of specified formats. Different commands communicate information such as a show schedule for a given channel, the title of each show in the schedule, descriptions and information attributes about each show in the channel. Thus, information for a show to be broadcast at a particular time is transmitted in several commands. ID numbers in the commands facilitate organizing the information into a relational database utilizing database engine (DBE) software stored in memory and executed by the processor.

In a preferred embodiment, a board is included at a viewer's television set and the database is stored locally and commands are transmitted in the VBIs of programming on a designated channel, for example PBS. An example of a board for receiving program guide information, generating program guide database, displaying the program guide, and interactively controlling the program guide is depicted in FIG. 3. The commands are transmitted to the board in the vertical blanking intervals of programming broadcast on a designated channel.

Alternatively, the commands could be transmitted to the local unit over land telephone lines. Additionally, as described below, in some systems the database is built remotely and the guide itself is transmitted to the local unit.

The database engine builds a hierarchical database in the RAM. The hierarchical structure of the database is depicted in FIG. 4. The database is structured internally as schedule data structures and theme data structures linked by handles and handle tables. Each handle is an index to a handle table which contains pointers to blocks of memory where items of the database are stored.

In another embodiment, for example a DSS system, program guide data is transmitted as a bit stream that is processed by the database engine.

Additionally, a N.E.W.S. (news, entertainment, weather, and sports) database has been developed. Commands including story text and story IDs are transmitted. Links from the program guide to stories related to a program can be created and the related stories can be accessed from the guide.

An advertisement database is also created from commands including advertising text and logos including IDs for linking the ads to shows displayed in the EPG. The user may access the advertising information directly from the guide.

An internet database is also created from commands including URLs to internet sites related to programs displayed on the EPG. If the viewer is viewing the EPG on a platform that is Web enabled, e.g., WebTV, a PC, or PCTV, then a linked site can be accessed directly from the EPG.

Additionally, a graphics program module builds various displays utilizing schedule, show title, and other information from the database. If the OSD controller operates in the character mode the display is a grid of character codes which are transferred to the OSD controller which generates the on-screen display.

An input-response user interface program module responds to user input to generate new displays responsive to the particular input. In one preferred embodiment, the user utilizes an input device, e.g., a remote control, mouse, or keyboard, to place a pointer over a part of the current display and click. The input-response module responds to the position of the pointer and the particular display currently displayed to generate a responsive display or take a particular action. In another preferred embodiment the user interface responds to function buttons on a remote control. Specific examples will be described below.

DETAILED DESCRIPTION OF THE EPG SYSTEM

Board Description

FIG. 3 is a block diagram an embodiment of the electronic hardware unit 52 utilized to perform the electronic on-screen schedule display and other functions. The particular hardware unit 52 depicted is for TVRO (TV Receive Only) customers having home satellite dishes for television viewing. This unit is coupled in series with existing customer TVRO equipment.

In FIG. 3, the unit receives Baseband Video in 123 from the customer TVRO system. The unit optionally outputs Baseband Video out 128 or channel 3/4 RF out 130. The unit includes an 8-bit microprocessor 100, 64 bytes of code ROM 101, 512K of RAM 102 for program data storage, a custom gate array 103, segmented base registers 104 for fast memory data manipulation, security logic 106 for decoding incoming encrypted data, a serial bus 108 for display controller interface, serial bus 110 for inter-processor communication, watchdog timer 112 for error recovery, IR input 113, IR transmitter circuits 116 for TV, VCR control, IR output 117, CRC-32 encoding and decoding logic 118, on-board power supply 120, video input 123, On-Screen Display Controller and Formatter 124, custom color converter 126, RF modulator 127, choice of Baseband Video or RF outputs 128 or 130.

The on-screen display controller and formatter (OSDCF) 124 functions as an I/O controller, an on-screen display controller (OSD), and also as a closed-caption data (CCD) VBI data slicer. The VBI (vertical blanking interval) is a dead space in a TV signal that allows a television signal to reposition the scanning electron beam from the bottom to the top of the screen. Digital data, for example close-captioned data, is modulated onto the carrier signal during the VBI.

The OSDCF 124 includes an analog-to-digital convertor (ADC) which digitizes the incoming baseband video and extracts digital information transmitted in the VBIs. As explained more fully below, messages for transmission to the database are transmitted in the VBIs. These messages are transferred to the processor 100 which executes a data base engine process to build or update the database.

The OSD part of the OSDCF 124 includes cache memory, character memory, timing functions, and an external RAM. The OSD reads high level graphic commands sent from the processor 100 and stores graphic information in the RAM. The OSD outputs red (R), green (G), blue (B), graphic data which is used to generate a local video signal. Depending on the state of the user input interface, described below, the OSD local video output or the incoming live video will be displayed.

Accordingly, screen display graphic data generated by the database engine is transferred to the RAM of the OSD which generates a local video signal that causes the display screen to be displayed on the television screen.

Scheduling Data Structures

The DBE builds a hierarchical database in the RAM. The hierarchical structure of the database is depicted in FIG. 4. The database is structured internally as schedule data structures and theme data structures linked by handles and handle tables. Each handle is an index to a handle table which contains pointers to blocks of memory where structures of the database are stored.

The hierarchy for the schedule data structures, in descending order, is:

- Channel Data Table:** contains subscriber unit's list of channels;
- Show List:** contains time slots for each show scheduled to be broadcast for a channel;
- Show Title:** contains the title text and show title attributes;
- Show Description:** contains show's ratings, attributes, and description text.

A channel data table, depicted in FIG. 5A, is the highest data structure in the hierarchy. This table includes an entry for each channel received by the subscriber unit. The entries in the channel data table are changed infrequently and are determined by the location of the subscriber

unit and type of services received. Each channel data table entry includes information concerning the channel and a handle to a show list handle table for the channel.

5 The next data structure in the hierarchy is the show list depicted in FIG. 5B. The show list includes a start time typically being midnight GMT and 24 hours of scheduling. The channel's schedule is given by an ordered sequence of show slots, with a show slot for each show to be broadcast by a particular channel for a particular day. Each slot includes a duration, show title handle, and show description handle. Finding an entry corresponding to a given start time
10 requires the entries to be scanned, in order, from the beginning of show list and adding duration values.

15 The database, when fully constructed, holds a week's worth of show lists for each channel. The days of the week are accessed by incrementing the show list handle by two bytes. The show lists are updated each day at midnight GMT, with the show list for the day just completed being deleted and the show list for same day next week being added to the database.

20 The next data structures in the schedule hierarchy are the show title entries, depicted in FIG. 5C, and show description entries, depicted in FIG. 5D. For a given show slot the show title entry and show description entry are accessed utilizing the handles included in the slot. The show title and show description entries are stored in a memory pool divided into blocks. Each show title is identified by a unique 20-bit show identification number (SID) and each show description is identified by a unique 20-bit number assigned at the head end. The show title handles are based on the SID and the show title handles are offsets into a show title handle table. The entry in the show title table accessed by a particular show title handle includes the address of the first block in the memory pool where the show title entry is stored. Similarly, the show
25 description handle table entry accessed by a show description handle stores the address of the first block in the memory pool where the show description entry is stored.

30 Each show title entry includes a theme index ID and the text of the show title. Typically, a single show title entry will be referenced by many show lists for different channels, days, and times. Thus, by utilizing handles in the show lists all show lists reference a single show title entry in memory so that memory is efficiently used. Many show title entries have a long life because the show titles may be for series that are broadcast over long periods of time and may be referenced by many show lists since many shows are broadcast by multiple channels.

35 Each show description entry includes a theme index ID, critic's rating, MPAA rating for the show, traits mask bits, year produced, and show description text. Show description entries tend to have a shorter life than show title entries because a description is only valid for a particular episode of a series.

Schedule Search

To obtain schedule information for a particular time and to display the schedule information in the programming grid requires the following steps. For each channel in the channel list, the show list for the day is accessed and scanned. Horizontal blocks for the channel are sized according to the duration of the show slots including and following the selected time. The show title entry referenced by each show slot is accessed and the show title is displayed in the horizontal block corresponding to the show slot.

Favorite Channel Lists

Referring back to FIG. 5E, every entry in the channel data table includes a FAVORITE LINK field. This field includes a link to a next favorite channel and is utilized to form an ordered, linked list of channels in an order determined by the user.

The user interface and database engine provide screens to facilitate the ordering and selection of channels to be displayed in the guide. A link to the first channel in an ordered channel list is stored in memory. This link is utilized to access the channel table entry for the most favorite channel. The FAVORITE LINK in that channel is accessed and utilized to access the channel table entry for the next favorite channel and so on until a designated delimiter value, e.g., 0x00, indicates the end of the favorite channel list.

The capability of having more than one favorites list can be supported by having multiple FAVORITE LINK fields stored in each channel table entry.

Theme Data Structures

A powerful feature of the database is the ability to group shows by theme. The theme IDs stored in the show title and show description entries are utilized to match particular shows to particular themes. For example, a viewer may want to see a listing of all comedy movies.

Each primary category, movies in the example above, has a theme category entry included in a theme category table, depicted in FIG. 5F. A theme category entry includes a theme category ID, a handle for the subcategory handle table, and the theme category name. The theme category ID is used to identify theme sub-categories, comedy in the example above, for this primary category.

There is a theme sub category table, depicted in FIG. J, for each primary category. The table contains entries for each theme sub-category contained in a primary theme category. Each table entry includes the theme IDs corresponding to the sub-category entry and the name of the sub-category.

Theme Search

When the viewer initiates a search for a particular type of show, for example a comedy movie, each channel is inspected and theme IDs of each show listed are compared to theme IDs stored in the comedy entry of the theme sub-category table corresponding to the movie primary category entry. Information about shows with matching theme IDs is stored in a theme search data structure in a user interface local buffer.

The theme search function requires two calls to the database. The first of these calls initializes the theme search data structure to the first show that matches the theme category for a specific channel entry, including the shows time offset from the search time. The second call will then find the next matching show after a particular offset time, updating the theme search data structure and returning the offset to the next show.

The basic algorithm for theme user interface access is:

1. for a given starting time, for each channel entry, find the first show that matches the theme criteria on or during this time and create a list. Keep track of the channels that had matches;
2. sort the list of shows in time order;
3. find the channel with the earliest show in the sorted list;
4. place this earliest show into the user interface search list;
5. for the channel with the earliest show, request the next show that matches the theme criteria and updated offset time;
6. repeat steps 2-4 until all shows have been located or other specified limit is reached (i.e. search may be for a limited number of matches).

The shows for the selected category are then displayed in time order.

Ad and N.E.W.S. Data Structures

An ad list data structure is similar to the show list. It includes a start time and 24 hours of ad scheduling. The ad list is regionalized and includes an ad slot for each ad to be broadcast for a given day. The ad slot includes a duration and an Ad ID utilized to access an ad entry.

Each add entry includes an ad banner text field, an ad text field, and a pointer to an ad logo, if appropriate. The ad logo includes a graphics file to be displayed with the ad.

The ad entries include the ad banner text and ad text.

Similarly, a N.E.W.S. (news, entertainment, weather, and sports) database can be stored. The structure is similar to above described databases with text entries updated to reflect the various topics.

Building the Database

The data base is built by a data base engine software module operating on the processor. Messages comprising discrete commands are received by the database engine. Examples of commands include a Region Command which specifies channels available for a particular subscriber unit to be included in the Channel Data Table; a Channel Data command including information utilized to form the entries in the Channel Data Table; and Showlist, Show Title, and Show Description commands including SIDs and DIDs referencing areas in memory. The database engine selects only Showlist Commands relevant to channels included in the Channel Table for further processing.

The data base engine creates storage locations in memory for all SIDs and DIDs included in any Showlist. Information included in commands having matching SIDs or DIDs is written to the referenced memory area. In practice the SIDs and DIDs are processed by a hashing system for more efficient searching.

The messages may be transmitted to a subscriber unit in various ways. A system for receiving messages in the VBIs of broadcast programming has been described above. In a DBS system the messages may be transmitted in a dedicated bit stream.

In a DBS system video baseband signals are digitized, compressed, and modulated onto analog carrier signals. Because of advances in the art of compression, a carrier once used to transmit a single program can now transmit four programs. Typically, in addition to video signals other bitstreams encoding information such as audio, VBI (vertical blanking information data such as closed caption and teletext), program guide information, and conditional access information, are provided as separate bitstreams, multiplexed into a composite bit stream, and modulated onto a carrier signal.

Alternatively, the database itself may be transmitted in a digital data stream. For example, in DSS the program guide information is transmitted in blocks of 3 hours of programming for 36 channels. Programming is digitally modulated onto different bands. As depicted in FIG. 6, a satellite has several transponders 500 simultaneously transmitting on different bands. Several channels can be modulated onto a single band utilizing digital compression techniques. A bit stream including the current programming is carried by all bands. However, future programming for different blocks of channels is transmitted on different bands. The blocks are transmitted as a carousel or endless loop so that there may be a delay before a particular time band is received.

A decoder at the viewer's location receives 16 carriers and controls a tuner/demodulator to select one carrier. The carrier is sampled, decoded, error-corrected, and demultiplexed to separate the various bit streams. The decoder includes video decoder chips which decompress compressed video to reconstruct pictures of virtually any size.

When the viewer accesses the guide the block for that time period is loaded into memory so that the user can interact with the guide. For a future time and different channel there may be a time delay. For example, if the current programming block were B1 and the block currently received is B4 the user must wait for blocks B5, B6, and B7, to be transmitted before the current programming can be received and displayed. The viewer would wait for a time delay equal to the sum of time durations for transmitting each block, i.e., $d_5 + d_6 + d_7$. If the program guide block is modulated onto a different band the cable box must tune to the band and wait until the desired block is transmitted on the carousel, so if the guide is accessed for future programming there could be a delay.

For cable the database is built at the SST head end and sent over land-lines to the cable head end. The cable company sends data any way it wants, e.g. VBIs, satellite, digital, etc.

User Interface

The user interface takes remote control commands from an input device, preferably a remote controller, as its primary input. In one embodiment a user requests various functions by pressing function keys on a remote controller. In another embodiment, the GUI is utilized with different interactive regions on a displayed screen corresponding to different functions. The user moves the cursor over the interactive region corresponding to a desired function and selects the function to generate a command. The particular form of entering a command is not critical and technology for utilizing voice commands may soon be available.

The user interface receives commands and responds with a requested display screen and by performing the function requested by the command. The function performed may be to perform an action such as recording a program, tuning to a channel, accessing a related internet site, purchasing a pay-per-view program, or purchasing merchandise. The data and format of each screen is dependent on the previous screen, time of day, the contents of the data base, the command received, and other parameters. A state table is used to define the screen flow.

For every defined screen, there is an entrance function, an exit function, an update function, and an array of request-handling functions. The entrance function is called when a state is first entered to collect all necessary data and format the screen. The exit function is called to release memory and data for the screen. The update function is called once per minute to update the screen time and to re-draw the screen if any information displayed on the screen needs to be updated.

Once in a particular state, the table contains a reference to another software function corresponding to each key on the remote control or to each interactive region on the screen. These referenced functions will be executed whenever an associated remote control button is pressed or interactive region is selected.

For example, if the user wishes to record a program, in the GUI embodiment, the viewer moves the cursor over the record interactive region which is then selected to request that the recording function be performed. A confirmation screen will then be generated. Once the user confirms the recording request, an entry is made in a recording queue. A record daemon is then called from the real-time executive to examine the queue and manage recording functions.

The screens are displayed by the on-screen display (OSD) controller based on graphic display commands issued by the database engine. Among the primitive commands needed to draw system display screens are the Erase Screen Command; Draw Rectangle Command; Save Rectangle Command; Restore Rectangle Command; Move Rectangle Commands; Write ASCII String Command; and Draw Channel Icon Command.

Each screen includes areas that are constant and based on code and data stored in non-volatile memory and variable areas such as show titles and descriptions which utilize data stored in the database. As described-above in the description of the database engine, the database is structured to facilitate efficient searching for information, generally in the form of ASCII text strings, stored in the database. Additionally, graphics files are also being stored in the database to be displayed in windows of the display screen.

Detailed Description of Pop-Up Messaging System

In the channel space browse mode, as a viewer uses the remote controller to surf through channels the current television program and a rectangular pop-up are simultaneously displayed on the screen. The pop-up includes informational messages about the program or channel currently selected by the remote controller. In the time space browse mode, the pop-up includes informational messages about later programming for the currently viewed channel instead. In the graze mode, the pop-up includes informational messages about the program or channel currently selected. For example, grazing overlays are described in U.S. Patent 5,353,121 at col. 10, line 66. The pop-up is preferably invoked by a channel change command; when the television viewer keys in a new channel to the remote controller, the pop-up appears without more and remains on the screen for a predetermined fixed period of time, e.g., 10 seconds. Alternatively, the pop-up could be invoked by a dedicated key on the remote controller--when the key is pressed, the pop-up appears, and when the key is pressed again, the pop-up disappears. In addition to information about the program or channel, advertising messages are also displayed simultaneously with the current television program. The advertising messages could promote the products or services of the television program sponsor and thus reinforce the advertising message displayed during commercial breaks. Alternatively, the advertising messages could promote the products or services of other sponsors. As another alternative, the advertising messages could promote an upcoming television program or event. As yet another

alternative, the advertising messages could be contextually sensitive in that they depend upon the subject of the current television program being displayed; e.g., the advertising messages are selected to appeal to the interests of someone who normally watches that type of television program, such as an advertising message about golf resorts displayed with a television program of a golf match or an advertising message about an airline displayed with a television travelogue. In short, advertising messages are delivered in a pop-up together with informational messages about television programing.

In another embodiment, the pop-up could be an advertising message contextually related to the television program being displayed without any informational message.

FIG. 1 illustrates the foregoing. A television 10 displays a telecast television program on a screen 12. In the preferred embodiment, a rectangular pop-up area 14 includes a first variable area 16 that simultaneously displays an advertising message based on data stored in an ad list data structure. Area 16 is variable in the sense that different advertisements are displayed therein, depending on the plan selected by the data provider. For example, a different advertisement could be displayed for each channel to which the television is tuned. Or a different advertisement could be provided on a rotational basis each time the browse or grazing mode is initiated. Or a different advertisement could be displayed after a predetermined time according to a prescribed cycle of advertisements. Or different advertisements could be displayed depending on the context of the television program being displayed. The pop-up area 14 also includes a second variable area 18 that displays information relating to the content of the show being displayed such as title, time left, channel, etc. Area 18 is variable in the sense that as the show changes, the information changes. If desired, pop-up area 14 could include other fixed or variable areas that display information related to the television programming being viewed or of interest to the viewer. Alternatively, areas 16 and 18 could be displayed without area 12 or area 18 could be eliminated. Although it would generally be preferable to display the pop-up prominently near the middle of the screen as shown in FIG. 1, the pop-up could be displayed in an out of the location such as along the bottom margin. The shape of the pop-up could also be different, e.g., as a ballon, or oblong, or circular. Further the size of the pop-up, i.e. the space occupied by the background of the pop-up could vary, depending upon the size of the messages to be displayed.

Different advertisements can be assigned to different channels by including handles to the ad list data structure in the channel table or according to particular shows by including handles in show table entries.

The content of the advertisements is changed by sending commands to update the text or graphic entries in the list data structure.

Detailed Description of Composite EPG and Advertising System

5 It is well known to display alternatively in different modes, images, such as a received television program or video clips, and an EPG. In such case, the EPG is displayed on a background of one or more solid colors. This aspect of the invention involves another way to display the EPG in a composite with an advertizing message contained in such an image.

10 As is known in the art, portions of an overlying foreground graphic image can be made transparent so that an underlying background image shows through. For example, for an image in the GIF format, the transparency value can be set to the background color so that pixels in the foreground image having a selected palette number will be replaced by pixels in the background image.

15 The format of an EPG is depicted in FIG. 11A and the format of an advertising message is depicted in FIG. 11B. The EPG format includes outlines of rectangles and characters displayed in rectangles. The rectangles are generally filled by a color corresponding to a palette number for the palette utilized by the graphics system. The palette numbers are stored in RAM or ROM with the EPG data and firmware.

20 Thus, to display an EPG as depicted in FIG. 11A in a composite with an advertising message, the palette number for the color used to fill the various rectangles will be selected for transparency. Thus, if the background image is the advertising message depicted in FIG. 11B and foreground image is the EPG of FIG. 11A, then the resulting composite image for a transparent EPG is depicted in FIG. 11C. The user has the option by means of the commands issued by the remote controller to display the EPG alone in the conventional fashion on a background of a solid color or colors or in a composite with an advertising message as depicted in FIG 11C.

25 When the composite is chosen by the user, the microprocessor substitutes the transparency value for the stored background color value before the EPG data is fed to the video processor. The output of the video processor and the advertising message are mixed before the combined signal is fed to the drive circuitry of the display.

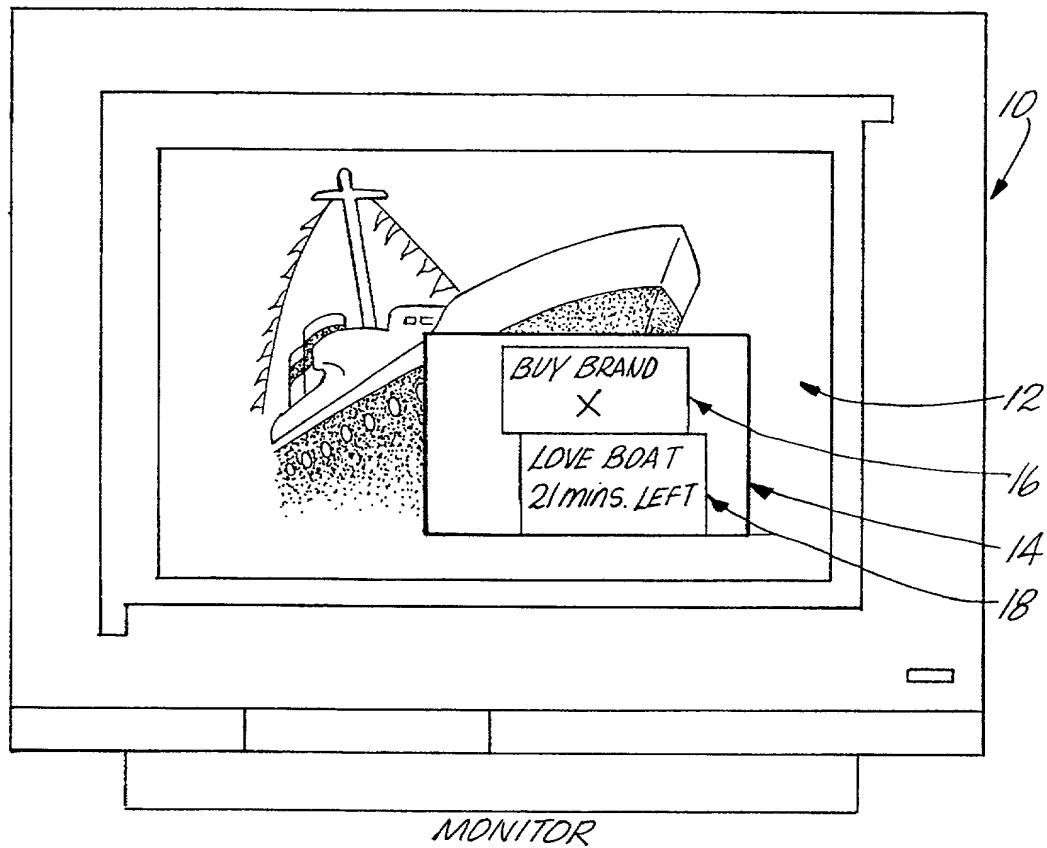
30 As exemplified in FIG. 11C, the layout of the background image should take into consideration the layout of the EPG image. The lettering overlying the dark hull of the ship is not visible in monochrome. Either the ship must be redrawn or text of a different color could be used to enhance visibility.

35 Alternatively, the foreground image could be a second transparent advertisement. If the background portion of the foreground is made transparent and the background image is laid out so that the advertising message is located within the background of the foreground image then two advertisements can be simultaneously displayed.

WHAT IS CLAIMED IS:

- 5
1. A television system comprising:
a receiver for recovering a television signal;
a display monitor for displaying the recovered television signal;
means for displaying on the monitor simultaneously with the recovered television signal
10 an informational message and an advertising message.
2. The television system of claim 1, in which the informational and advertising
messages are displayed in pop-up areas.
- 15 3. The television system of claim 2, in which the informational messages relate to
the content of the recovered television signal.
4. The television system of claim 2, in which the informational messages relate to
later programming on the channel of the recovered television signal.
- 20 5. The television system of claim 2, in which the informational messages relate to
current programming.
6. The television system of claim 1, additionally comprising means for displaying
25 a composite of an EPG and an advertising message.
7. The television system of claim 6, additionally comprising means for displaying
an EPG alone.
- 30 8. The television system of claim 6, additionally comprising means for storing EPG
data including at least one stored background color value.
9. The television system of claim 8, additionally comprising means for using the
stored background color value to display the EPG alone.
- 35 10. The television system of claim 8, additionally comprising means for substituting
a transparent value for the stored background color value to display the composite.

Fig. 1



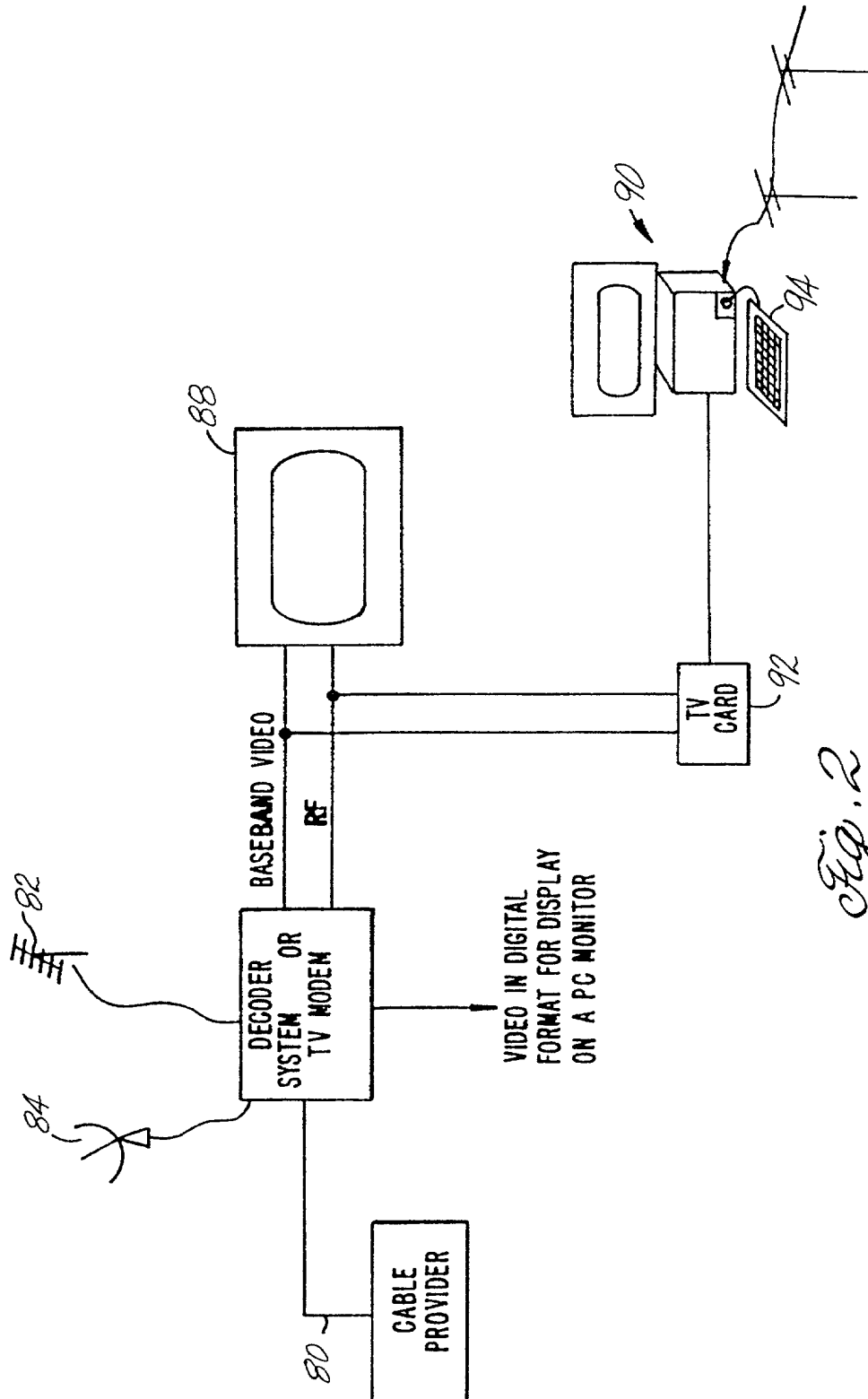
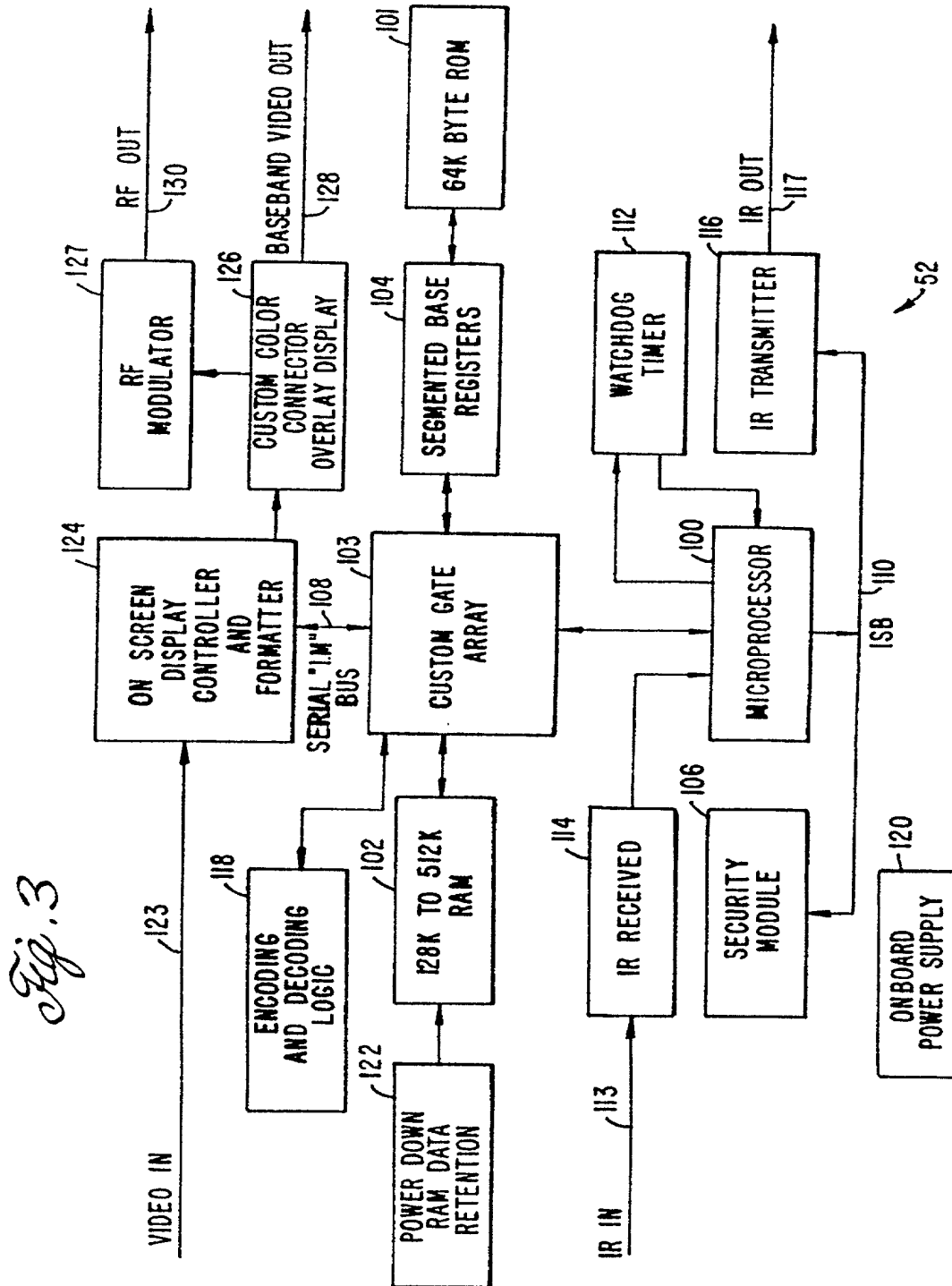


Fig. 2



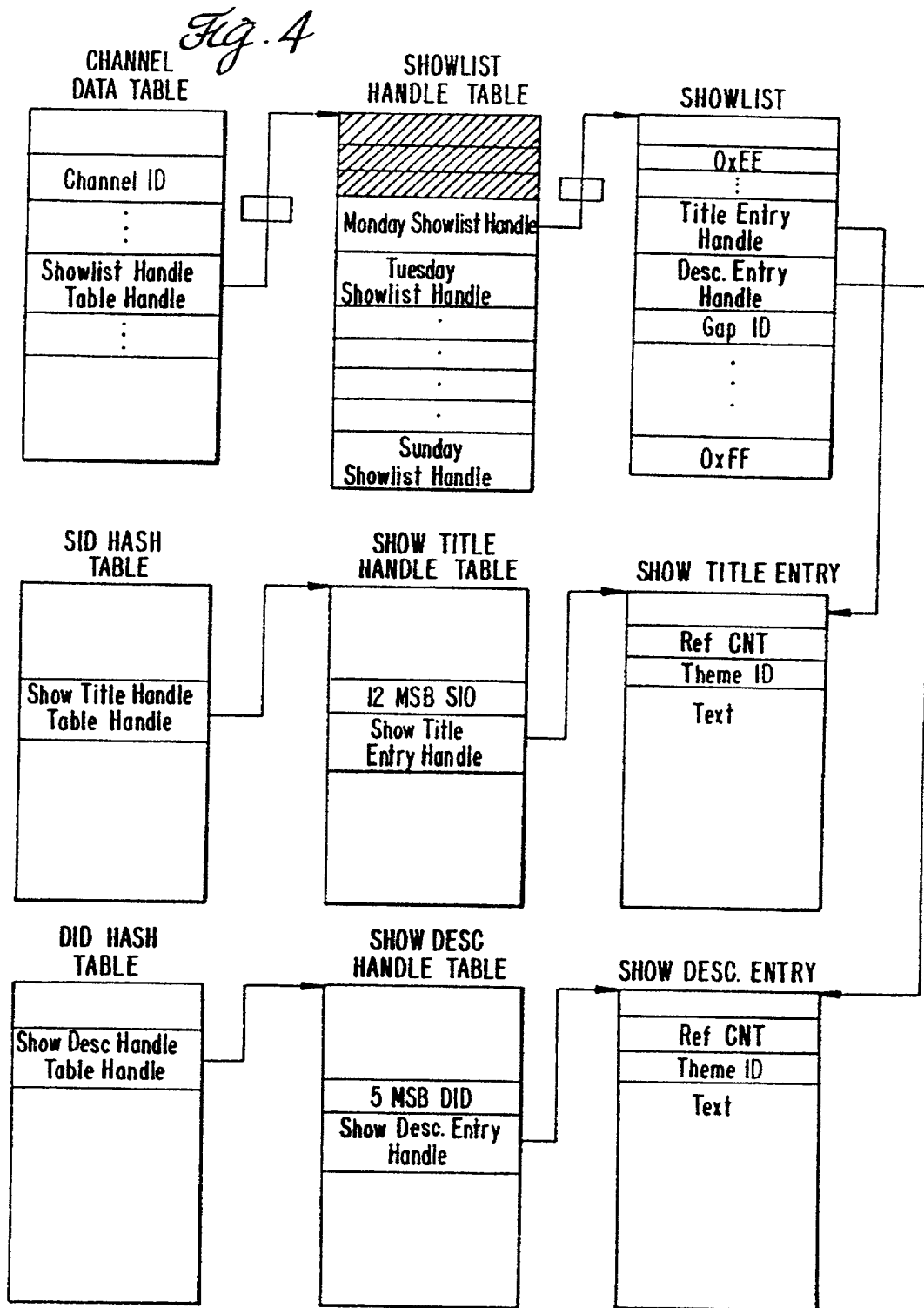


Fig. 5

SHOW LIST

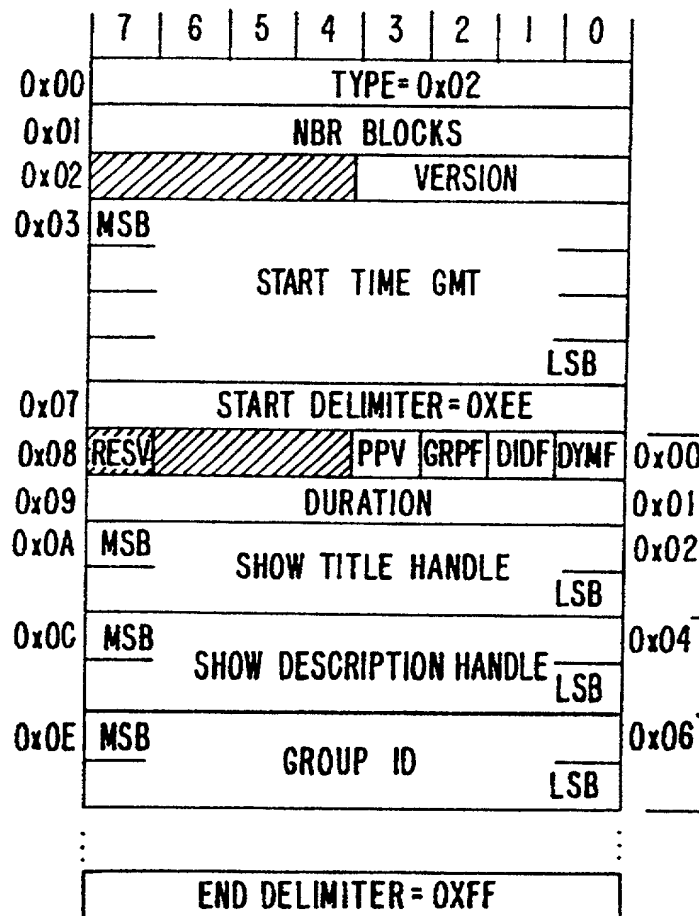


Fig. 6

	7	6	5	4	3	2	1	0
0x00	TYPE=0x60 NBR BLOCKS							
0x01	CMPF	CCF	SF	BW	CR	REF	CNT	MSB
0x02	REFERENCE COUNT							
0x03	MSB							
	THEME INDEX ID							
0x05	CRITICS RATING			MPAA			LSB	
0x06	TRAITS MASK BITS							
0x07	YEAR PRODUCED							
0x08								
:	SHOW DESCRIPTION TEXT							
:								

Fig. 8

Fig. 9SUBSTITUTE SHEET (RULE 26)

CH 1	SHOW A
CH 2	SHOW B
CH 3	SHOW C

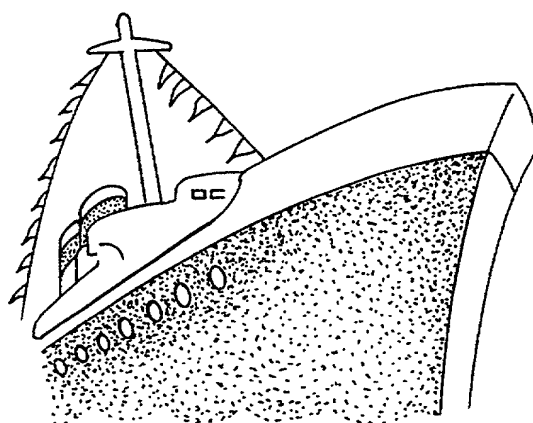
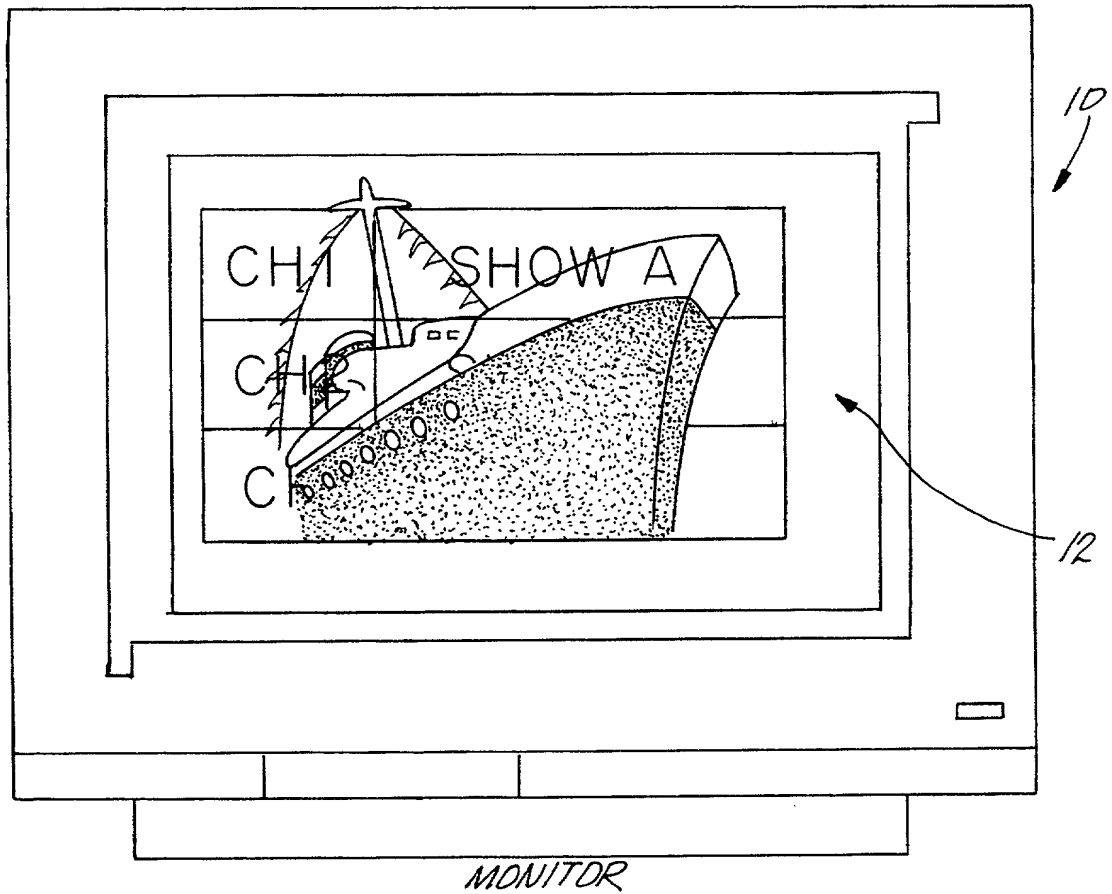
Fig. 11A*Fig. 11B*

Fig. 11c



**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATIONS**

PATENT

Docket No. : 33786/WWM/S787

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ELECTRONIC PROGRAM GUIDE SYSTEM WITH ADVERTISING MESSAGES IN POP-UPS, the specification of which is attached hereto unless the following is checked:

X was filed on June 1, 2000 as United States Application Number 09/555,551

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of the foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

<u>Application Number</u>	<u>Country</u>	<u>Filing Date (day/month/year)</u>	<u>Priority Claimed</u>
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I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

<u>Application Number</u>	<u>Filing Date</u>
---------------------------	--------------------

60/067,483	December 1, 1997
60/067,038	December 1, 1997

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>Application Number</u>	<u>Filing Date</u>	<u>Patented/Pending/Abandoned</u>
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PCT/US98/25490	December 1, 1998	
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POWER OF ATTORNEY: I hereby appoint the following attorneys and agents of the law firm CHRISTIE, PARKER & HALE, LLP to prosecute this application and any international application under the Patent Cooperation Treaty based on it and to transact all business in the U.S. Patent and Trademark Office connected

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL496233858US

Applicant : Jonathan B. Orlick
Application No. : 09/555,551
Filed : June 1, 2000
Title : ELECTRONIC PROGRAM GUIDE SYSTEM WITH
ADVERTISING MESSAGES IN POP-UPS

Grp./Div. : To be determined
Examiner : To be determined

Docket No. : 33786/WWM/S787

LETTER IN RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

P.O. Box 7068
Pasadena, CA 91109-7068
August 29, 2000

Commissioner:

In response to the Notice to File Missing Parts of Application dated **June 30, 2000**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. A Petition for Extension of Time.
3. Extension of Time fee of **\$110.00**.
4. Missing Parts surcharge of **\$130.00**.
5. Executed Declaration and Power of Attorney.
6. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728.

09/05/2000 ABIZAW 00000041 09555551

01 FC:154 130.00 OP
02 FC:115 110.00 OP

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RRT/dg
Enclosures
DSZ PAS269636.1-* -8/29/00 10.06 AM

CHRISTIE, PARKER & HALE, LLP

2 weeks

-2-

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATIONS**

Docket No. 33786/WWM/S787

with either of them in accordance with instructions from the assignee of the entire interest in this application; or from the first or sole inventor named below in the event the application is not assigned; or from __ in the event the power granted herein is for an application filed on behalf of a foreign attorney or agent.

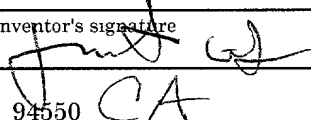
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Walter G. Maxwell	(25,355)	Syed A. Hasan	(41,057)	Marc A. Karish	(44,816)
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45

The authority under this Power of Attorney of each person named above shall automatically terminate and be revoked upon such person ceasing to be a member or associate of or of counsel to that law firm.

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I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor Jonathan B. Orlick	Inventor's signature 	Date 8/15/00
Residence and Post Office Address 2236 Bess Avenue, Livermore, California 94550 CA		Citizenship US